



REGISTRANT OUTREACH WORKSHOP AGENDA

Thursday, June 20, 2019

2:00 – 4:00 p.m.

BCIT Downtown Campus, 555 Seymour Street, Vancouver

1:30 to 2:00	SIGN IN AND NETWORKING	
2:00 to 2:05	Welcome	Mark Wang, Director, CMR
2:05 to 2:45	Current topics: <i>Engaging with older and vulnerable clients</i>	Janice Leung, Manager, Adviser/IFM Compliance David Rajanayagam, Senior Compliance Analyst, Adviser/IFM Compliance
	and <i>engaging with compliance consultants</i>	Mark French, Manager, Registration and Dealer Compliance
2:45 to 3:00	Risk questionnaire	Edwin Leong, Lead Compliance Analyst Adviser/IFM Compliance
3:00 to 3:15	Refreshment Break	
3:15 to 3:55	Issues from the field	Colleen Ng, Compliance Analyst, Adviser/IFM Compliance Jason Chan, Senior Compliance Analyst, Adviser/IFM Compliance Crystal He, Compliance Analyst, Dealer Compliance
3:55 to 4:00	Closing	Mark Wang, Director, CMR